



# ROOT ANN Interface for RunII

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Page 1

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**Nov. 11, 2000**

- Motivation
- ANN review
- ROOT interface (example)
- Summary



# Motivation

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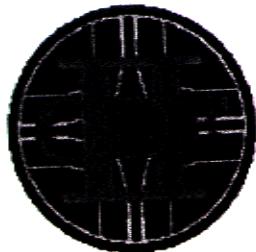
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- The use of ANN's is popular.
  - D0 has used them extensively in studying ttbar decays.
  - Top and Higgs analyses in Run II
  - Today at the Weak Interactions Discussion Group:
    - Presentations of Neural Net studies by L. Dudko and C. Ciobanu
- Many use **JETNET**
  - Package (from CERN and University of Lund) that performs training (minimization) and testing of feed-forward ANN.
  - Specifically aimed at HEP.
  - Anonymous ftp site: **thep.lu.se**



# Goal

- To make a ROOT interface to JETNET that is simple, fast, and flexible.
  
- Useful JETNET and ANN links:
  - [www.thep.lu.se/public\\_html/jetnet\\_30\\_manual/jetnet\\_30\\_manual.html](http://www.thep.lu.se/public_html/jetnet_30_manual/jetnet_30_manual.html)
  - [html://neuralnets/web.cern.ch.NeuralNets/nnwInHep.html](http://neuralnets/web.cern.ch.NeuralNets/nnwInHep.html)
  - [www-dapnia.cea.fr/Spp/Experiences/OPAL/opalcern/nnrecipe.html](http://www-dapnia.cea.fr/Spp/Experiences/OPAL/opalcern/nnrecipe.html)



# ANN as a Multivariate Classifier

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- We want to use the ANN to classify signal and background events.
- The events are assigned (eg. in the single output case):
  - 1 for signal
  - 0 for background
- Discrimination is achieved by looking at the output determined by the ANN.
- Technique exploits the correlations among variables, and provides discrimination between signal and background.

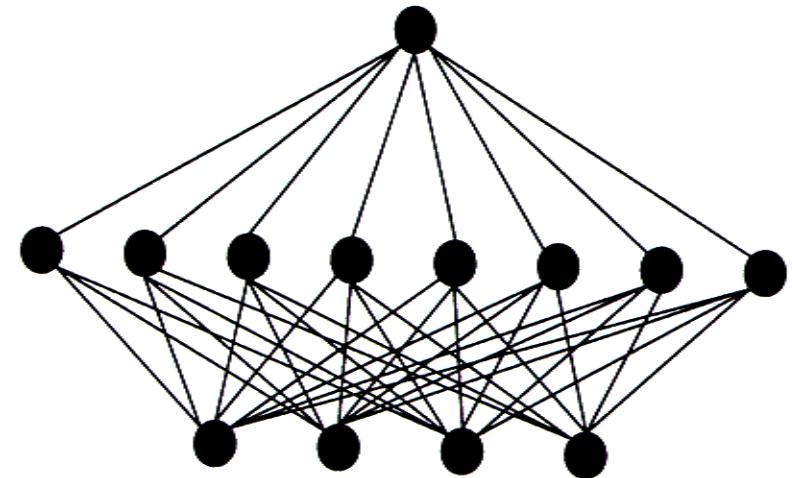
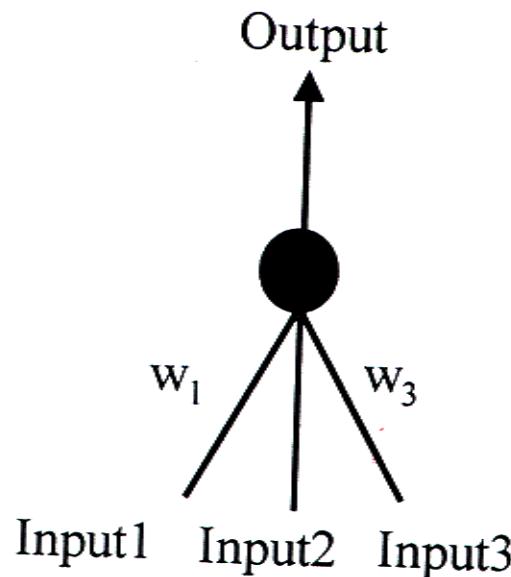


# Artificial Neural Networks

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Page 5

- ANN is a function of N variables
  - Useful graphical representation:

- All nodes above the input layer perform a simple calculation:



$$\text{Output} = g \left( \sum_i w_i \cdot \text{Input}_i - \text{Threshold} \right)$$

A common activation function is:  $g(x) = \tanh(x)$



# ANN

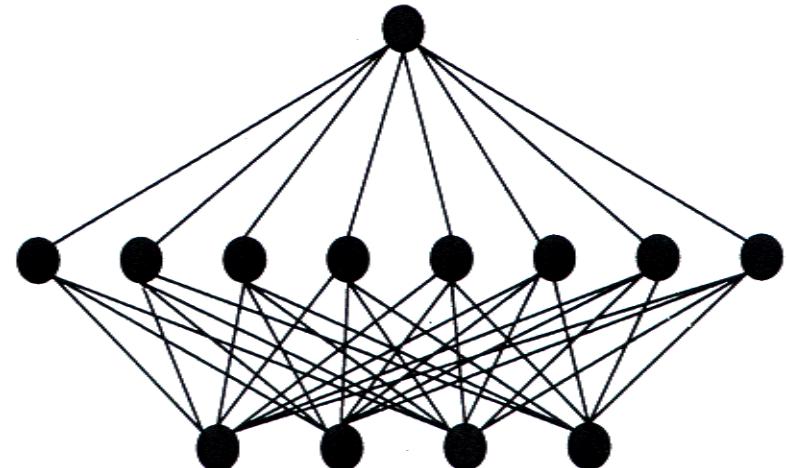
## Training and Testing

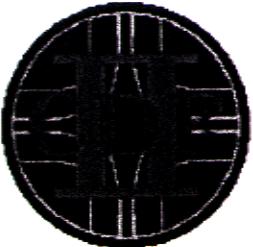
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Page 6

- Behaviour of ANN determined by weights.
- **Training** process adjusts the weights:
  - Present ANN with input patterns (a group of variables from a single event).
  - Compare ANN Output with what is expected (Target).
  - Adjust the weights by minimizing a mean square error function.
- The performance of the ANN is **Tested** by presenting independent events to ANN, and finding how often it gets the right answer.

$$E = \frac{1}{N_p} \sum_{p=1}^{N_p} \sum_{i=1}^{Out} (O_i^{(p)} - T_i^{(p)})^2$$

- Repeat.





# ROOT Interface

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- The ROOT Interface consists of two parts:
  - ROOT script (`root_to_jetnet.C`) uses command line to:
    - ↳ Set ANN parameters (file).
    - ↳ Run the ANN
    - ↳ Plot input variables, NN performance, error, and output
    - ↳ Users will modify this
  - JETNET .exe (FORTRAN)
    - ↳ Expects ANN parameter file
    - ↳ Performs the Training & Testing using JETNET subroutines
    - ↳ Creates performance and weight files.
    - ↳ User would not have to touch this.



# Using the ROOT Interface

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Example: Classify ttbar and W + jet background events.

- Input Files
  - Global set of all possible inputs one may choose from
  - Generated by user.
  - 1 file per sample (signal, bkg1, bkg2, ...)
  - Rows (Events) and Columns (Pattern Variables)
- Set the ANN Parameters.
  - eg. choose input variables, how many events to train on, number of training cycles, number of hidden nodes, etc...
- Run JETNET
- Plot the results:
  - Performance, error, ANN output
- Use the net (apply ANN to data).

```

Et jet 1
Et jet 2
Et jet 3
Eta 1
Eta 2
Eta 3
Met
Ht
Njet
 54.65887451 40.88462067 23.49944496 -1.06890965 -1.96102273 -0.79334229 49.09893036...
 36.25290298 30.39608765 28.32822800 -1.17113495 -1.06486785 -1.40596402 32.69572830...
140.77398682 28.88099861 21.95326996 -0.18924452 -0.79315042 -0.07041404 124.64159393...
 96.64276123 68.13753510 60.78130341 0.52958304 0.03265952 -1.33528435 43.20137024...
 43.22183609 35.94001389 25.91762161 0.55198044 -0.49529329 -0.27864811 55.30059433...
151.61328125 69.37616730 55.84277344 -0.56662613 -0.58165526 -0.06336756 41.51983643...
 81.05252075 26.22723579 17.36202240 -0.44931754 0.78753597 -0.34974739 42.99569321...
103.23712158 75.03862000 60.64249420 -0.51652193 1.77900207 -1.20707572 62.73186111...
 65.72234344 18.69539452 15.88183308 -0.41062140 1.20873225 0.31330562 36.68163300...
 44.71592331 28.77424622 23.04918861 -1.04198885 1.08706748 -1.92912865 59.88166809...
 51.25721359 43.08668137 27.27217293 0.85083866 1.12633574 -0.70891237 38.99965668...
 40.56472778 33.02461624 28.44169617 -1.56939483 -0.41746897 0.07960255 29.67476654...
 76.32497406 64.35749817 50.71455765 1.03300512 0.31696343 0.18754163 54.43646240...

```

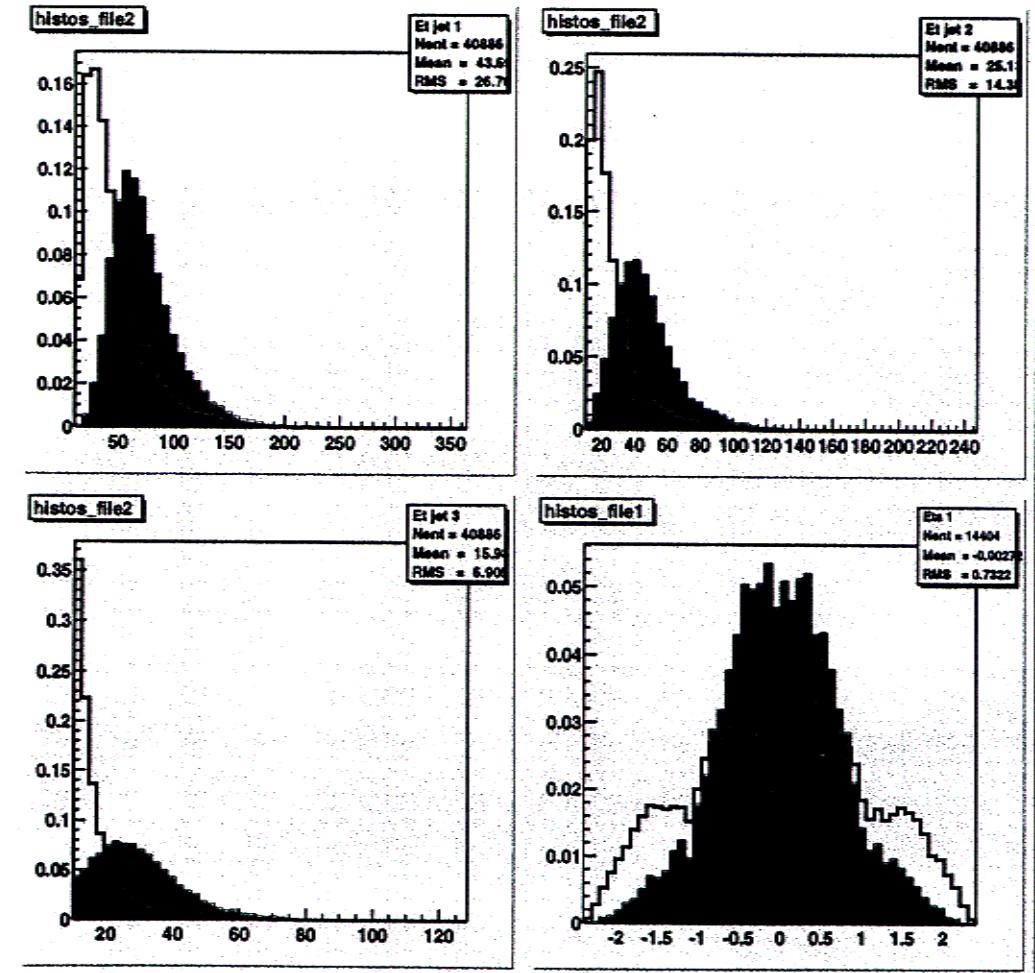


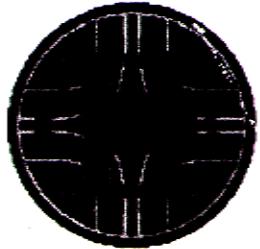
# ROOT Interface

## Input Variables to ANN

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Page 9

- **Input Samples**
  - Signal - Herwig ttbar 175
  - Background - Vecbos W+njet
- **9 variables:**
  - $E_T$  jet 1,2,3
  - $\eta$  jet 1,2,3
  - Missing  $E_T$
  - $H_T$
  - Number of jets with  $E_T > 10$  GeV,  
and  $|\eta| < 2.75$
- User may plot the variables for input samples.

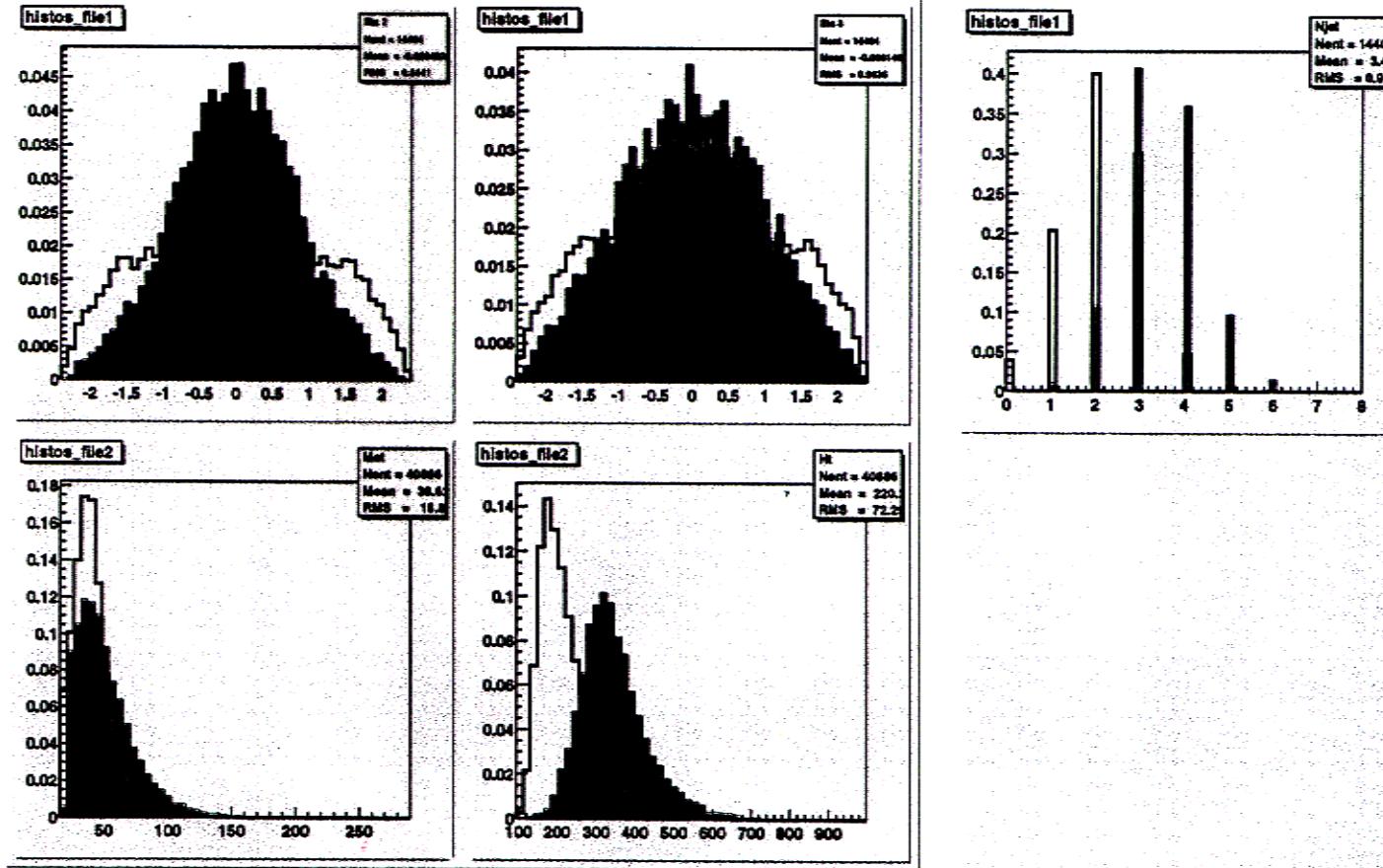


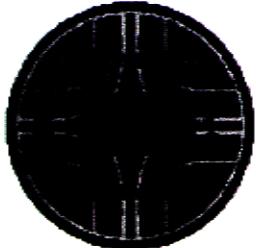


# \*ROOT Interface

## Input Variables to ANN

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# ROOT Interface ANN Parameters

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- What are they?
  - Number of (and which) input, hidden, and output nodes.
  - Number of Epochs (training cycles).
  - Minimization technique (normal backprop, Manhattan, others...).
  - Input Samples
    - ➔ Number of Training and Testing events
    - ➔ Expected output pattern (target).
  - Generation of “performance” and/or “weights” files.
- How are they set?
  - Command line “set()” methods to change individual parameters.
  - Or just edit the initial values in the script.
  - `getnet()` to check the setup.
- Generate a parameter file for the `jetnet.exe`.

# Simple root session...

```
pkoehn /home/pkoehn/run1/NN/myana>root
*****
*          W E L C O M E   t o   R O O T      *
*
*  Version    2.24/04     11 July 2000  *
*
*  You are welcome to visit our Web site  *
*          http://root.cern.ch                 *
*
*****
```

CINT/ROOT C/C++ Interpreter version 5.14.37, Apr 29 2000  
Type ? for help. Commands must be C++ statements.  
Enclose multiple statements between { }.

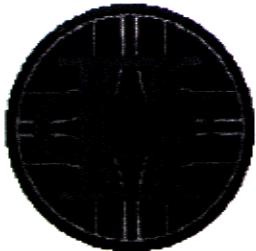
```
root [0] .L root_to_jetnet.C
root [1] setEpoch(1000)
root [2] setMini(0)
root [3] getnet()
*** Current jetnet parameters ***
Maximum number of inputs = 9
Desired input pattern = 111111111
hidden nodes = 18
output nodes = 1
input file 0 /home/pkoehn/run1/NN/myana/topcutnn_top_mc.dat
Target pattern 1.00
events = 10000
training events = 5000
input file 1 /home/pkoehn/run1/NN/myana/topcutnn_vecbos_bkg.dat
Target pattern 0.00
events = 10000
training events = 5000
performance flag = 0
number of epochs = 300
minimization = 1
print spew flag = 0
output directory = /home/pkoehn/run1/NN/myana/
jetnet .exe directory = /home/pkoehn/Root_Jetnet/src/
jetnet parameter file = topcutnn_netspecs.dat
jetnet performance file = /home/pkoehn/run1/NN/myana/p_11111111_9_18_1.dat
jetnet weights file = /home/pkoehn/run1/NN/myana/w_11111111_9_18_1.dat
```

RUN! →

```
root [4] jetnet("11111111")
root [5] plotPerform()
root [6] plotNN(1000,"top_mc.dat","w_11111111_9_18_1.dat")
root [7] plotNN(1000,"vecbos_bkg.dat","w_11111111_9_18_1.dat")
```

← Set net parameters.

← make plots of results.

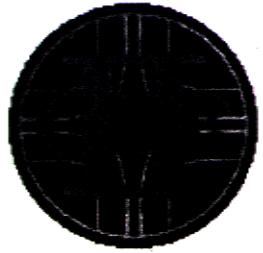


# \*ROOT Interface

## Run the ANN

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Page 12

- Different ways one may want to run:
  - Run in a single shot mode, using current parameters.  
→ `root[ ] jetnet()`
  - Choose different input variables and run again.  
→ `root[ ] jetnet("110100111")`
  - Loop over numbers of hidden nodes.  
→ `root[ ] jetnet("110100111",9,18)`  
→ Generates 10 performance and 10 weights files
  - Loop over combinations of both
- Output is a file containing ANN weights
  - Generates a .C function that produces the NN output.  
→ to be used in other scripts and/or AC++ code.
  - Plots to check NN output, it's performance as a function of the epoch number (and number of hidden nodes).



# ROOT Interface

## Plot and Check results

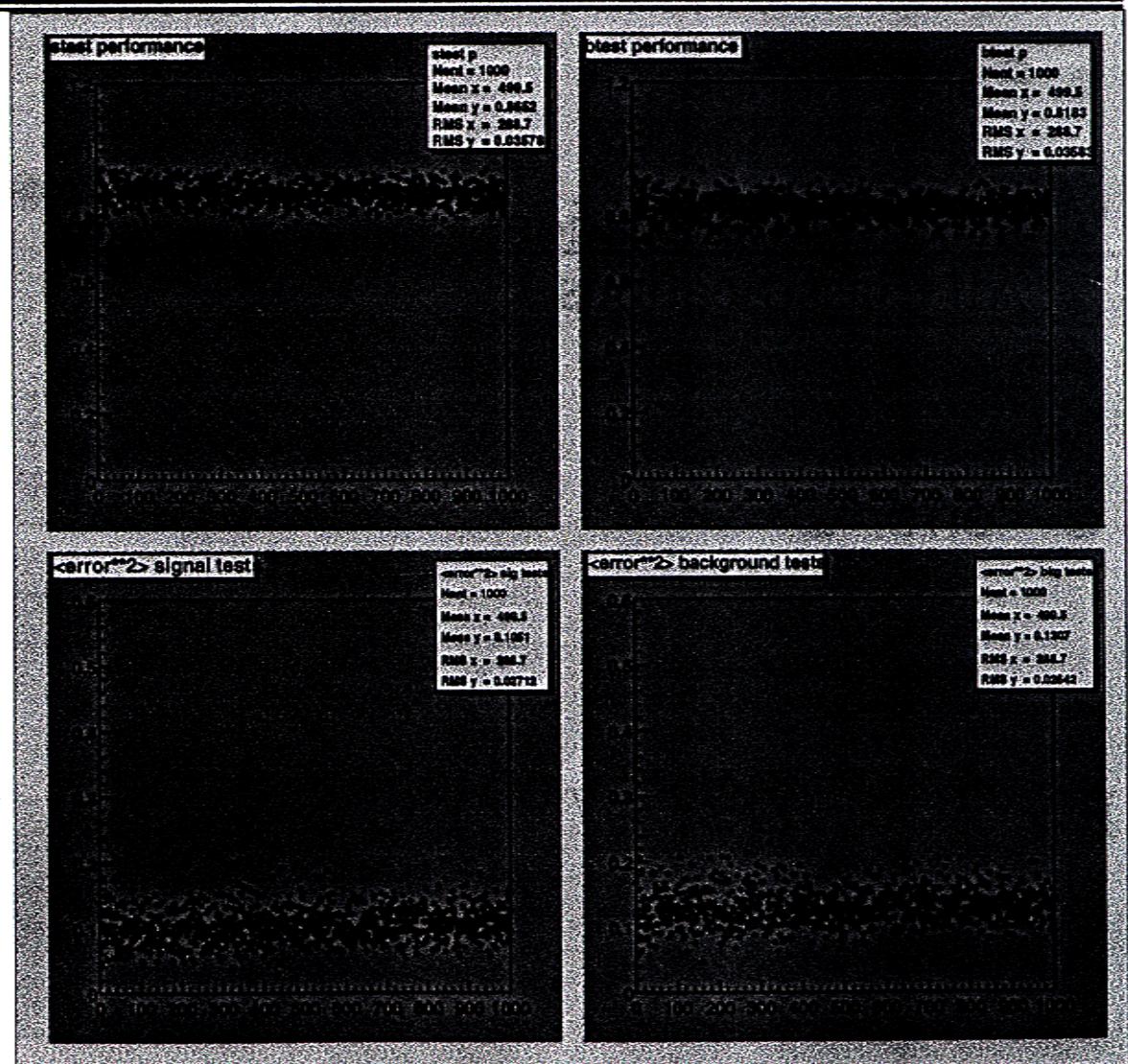
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Page 13

- Performance

"Correct" Classifications  
All Classifications

- $\langle \text{error}^2 \rangle$

$$E = \frac{1}{N_P} \sum_{p=1}^{N_P} (O^{(p)} - T^{(p)})^2$$

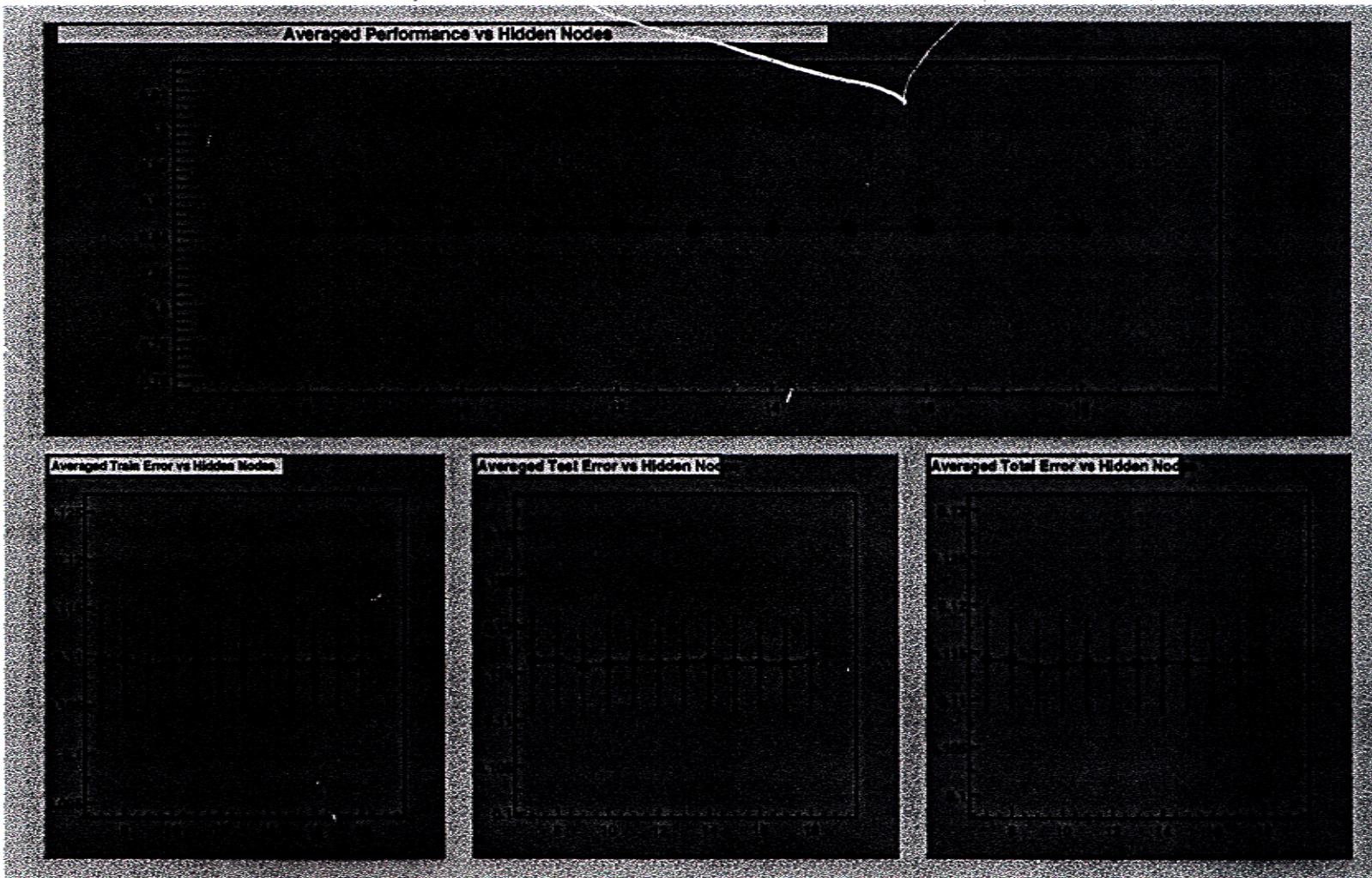


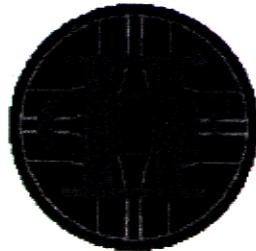


# \*ROOT Interface

## Plot and Check results

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Page 14





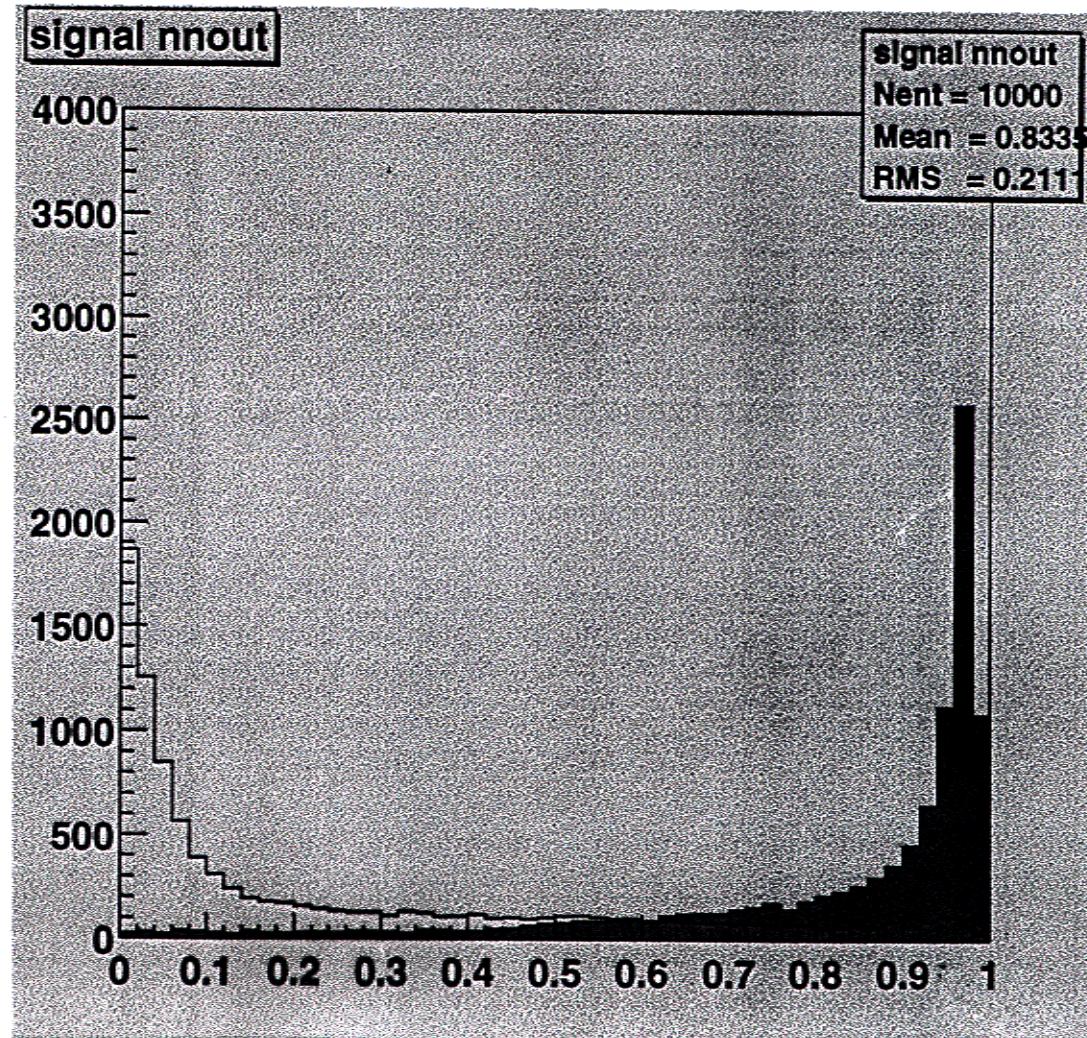
# ROOT Interface

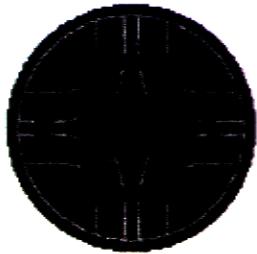
## Plot and Check results

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Page 15

- ANN output

→ weights file  
→ nnout.C





# Summary

- We have a ROOT interface that provides a simple command line interface to a JETNET executable.
  - This script allows user to:
    - Vary number and type of input samples.
    - Change NN parameters easily.
    - Vary run modes(single, loop over inputs, hidden nodes, ...).
    - Plot – input variables, NN performance, error, and output.
    - Create weights file to run on the data.
    - C function based on weights file for general use.
- Future plans
  - Add features:
    - Input from ROOT or Ntuple files.
    - Vary parameters in JETNET minimization techniques.
    - Additional hidden layers.
  - Release for general consumption( in CVS repository at CDF ).



# \*Example using 2 output nodes: Single Top

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Page 17

- 3-layer network
  - Input layer:: 9 input variables => 9 input nodes
  - Output layer:: 2 nodes (minimum to accommodate three classes)
- Require:
  - $\text{Out}(1) = 0, \text{Out}(2) = 0$  for Wbb, Wcc
  - $\text{Out}(1) = 1, \text{Out}(2) = 1$  for ttbar
  - $\text{Out}(1) = 1, \text{Out}(2) = 0$  for Wg, W\*

